

General information about company	
Scrip code	531260
NSE Symbol	
MSEI Symbol	
ISIN	INE332F01018
Name of the company	YKM INDUSTRIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	3969390			3969390	88.41	3969390		3969390	88.41
(B)	Public	396	520510			520510	11.59	520510		520510	11.59
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	400	4489900			4489900	100	4489900		4489900	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				88.41					3968690
(B)	Public				11.59					12100
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					3980790

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	1933530			1933530	43.06	1933530		1933530	43.06
(d)	Any Other (specify)	1	2035860			2035860	45.34	2035860		2035860	45.34
Sub-Total (A) (1)		4	3969390			3969390	88.41	3969390		3969390	88.41
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		4	3969390			3969390	88.41	3969390		3969390	88.41
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	390	480110			480110	10.69	480110		480110	10.69
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	21500			21500	0.48	21500		21500	0.48
(e)	Any Other (specify)	5	18900			18900	0.42	18900		18900	0.42
Sub-Total (B) (3)		396	520510			520510	11.59	520510		520510	11.59
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		396	520510			520510	11.59	520510		520510	11.59
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		400	4489900			4489900	100	4489900		4489900	100
Total (A+B+C)		400	4489900			4489900	100	4489900		4489900	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				43.06					1932830
(d)				45.34					2035860
Sub-Total (A) (1)				88.41					3968690
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				88.41					3968690
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				10.69					12100
(a(ii))				0.48					0
(e)				0.42					0
Sub-Total (B) (3)				11.59					12100
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				11.59					12100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					3980790
Total (A+B+C)				100					3980790
Disclosure of notes on shareholding pattern								Textual Information(1)	
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information(1)	
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)	

Text Block	
Textual Information()	<p>QUERY : Confirm the Promoter / Public holding (Compare with Previous Quarter) REPLY : The Shareholding of Promoter as on 30.09.2018 is 88.41%. The Company came out with Open Offer in July as result of it new promoters MrAnil Jain, Sherisha Technologies Pvt limited, Mrs. Dimple Jain and Mrs. Ugamdevi Jain stepped in as new promoters amounting to 88.41 % as promoter holding as on 30.09.2018. QUERY: Percent of public shareholding of YKM Industries Ltd is less than 25 i.e. 11.59. REPLY:The Public Shareholding as on 30th September, 2018 is 11.59% as the Company has gone for Open Offer during July, 2018. The Company has made an application under Offer for Sale (OFS) for achieving the Minimum Public Shareholding to be 25% as per SCRR 1957. ADDITIONAL INFORMATION : As on 25th October, 2018, The promoters shareholding has come up to 88.51% from 88.41% vide transfer of 4700 Shares (which has been received during Open Offer) from Public Shareholder to Promoter Mr Anil Jain. The said shares has been acquired in Open offer but due to clarifications sought from RTA on the documents submitted by the transferor, the said transfer was further taken on record during october on rectification.</p>
Textual Information()	<p>YKM INDUSTRIES LIMITED WAS RECENTLY ACQUIRED THOROUGH OPEN OFFER AND OWING TO THIS THE PUBLIC HOLDING IN THE COMPANY IS BELOW 25%. AS PER SCRR, 1957 , WE HAVE A TIME PERIOD OF ONE YEAR TO INCREASE THE PUBLIC SHAREHOLDING TO 25%. THE COMPANY IS GOING FOR OFFER FOR SALE INORDER TO HAVE MINIMUM PUBLIC SHAREHOLDING (I.E 25%).</p>
Textual Information()	<p>QUERY : The details of DIMPLE JAIN (PAN AEIPD3757K), Promoter is not available in the previous quarter of the Promoter category of YKM Industries Ltd REPLY :The Company was acquired by way of Open Offer during July, 2018, DIMPLE JAIN bearing PAN AEIPD3757K, being one of the acquirers, be classified as promoters from the quarter ended 30th September, 2018. QUERY : The details of SHERISHA TECHNOLOGIES PVT. LTD (PAN AAHCS6471P), Promoter is not available in the previous quarter of the Promoter category of YKM Industries Ltd REPLY:The Company was acquired by way of Open Offer during July, 2018. SHERISHA TECHNOLOGIES PVT. LTD bearing PAN AAHCS6471P, being one of the acquirers, be classified as promoters from the quarter ended 30th September, 2018. QUERY : The details of T.ANIL JAIN (PAN AADPA8582A), Promoter is not available in the previous quarter of the Promoter category of YKM Industries Ltd REPLY:The Company was acquired by way of Open Offer during July, 2018, T.ANIL JAIN bearing PAN AADPA8582A, being one of the acquirers, be classified as promoters from the quarter ended 30th September, 2018. QUERY :The details of UGAMDEVI JAIN (PAN AAHPJ0840J), Promoter is not available in the previous quarter of the Promoter category of YKM Industries Ltd REPLY:The Company was acquired by way of Open Offer during July, 2018, UGAMDEVI JAIN bearing PAN AAHPJ0840J, being one of the acquirers, be classified as promoters from the quarter ended 30th September, 2018.</p>

Individuals/Hindu undivided Family				
Serial No.	1	2	3	
Name of the Shareholders (I)	T.ANIL JAIN	DIMPLE JAIN	UGAMDEVI JAIN	Click here to go back
PAN (II)	AADPA8582A	AEIPD3757K	AAHPJ0840J	Total
No. of fully paid up equity shares held (IV)	1484540	224495	224495	1933530
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1484540	224495	224495	1933530
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	33.06	5	5	43.06
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	1484540	224495	224495	1933530
Class eg:y				
Total	1484540	224495	224495	1933530
Total as a % of Total Voting rights	33.06	5	5	43.06
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	33.06	5	5	43.06
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1483840	224495	224495	1932830

Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter	Promoter	Promoter	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHERISHA TECHNOLOGIES PVT. LTD	
PAN (II)	AAHCS6471P	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	2035860	2035860
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2035860	2035860
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	45.34	45.34
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2035860	2035860
Class eg:y		
Total	2035860	2035860
Total as a % of Total Voting rights	45.34	45.34
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	45.34	45.34
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity	2035860	2035860

shares held in dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	5	5
No. of fully paid up equity shares held (IV)	18900	18900
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	18900	18900
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.42	0.42
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	18900	18900
Class eg:y		
Total	18900	18900
Total as a % of Total Voting rights	0.42	0.42
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.42	0.42
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0

Reason for not providing PAN		
Reason for not providing PAN		

